

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hisato SHIMA, et al.

SERIAL NO: 09/518,403

GAU: 2621

FILED: March 3, 2000

EXAMINER: ONUAKU, C.

FOR: DIGITAL RECORDING APPARATUS AND COPYRIGHT PROTECTION METHOD THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

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SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

OFFICE OF PETITIONS

- ☒ The applicant(s) wish to make of record the Japanese Office Action and references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
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CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Docket No. 203730US-6 CONT

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 203730US-6 CONT		SERIAL NO. 09/518,403	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Hisato SHIMA, et al.			
				FILING DATE March 3, 2000		GROUP 2621	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,896,454	04/20/99	COOKSON ET AL.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AO	03-102676	04/30/91	JAPAN W/ENGLISH ABSTRACT			XX
	AP	2000-501875	02/15/00	JAPAN (CORRESPONDING TO PATENT NO. 5,896,454)			XX
	AQ	WO98/02881	01/22/98	WIPO			
	AR	09-320193	12/12/97	JAPAN W/ENGLISH ABSTRACT AND MACHINE TRANSLATION	XX		
	AS	09-284342	10/31/97	JAPAN W/ENGLISH ABSTRACT AND MACHINE TRANSLATION	XX		
	AT	07-226915	08/22/95	JAPAN W/ENGLISH ABSTRACT AND MACHINE TRANSLATION	XX		
	AU	05-258463	10/08/93	JAPAN W/ENGLISH ABSTRACT AND MACHINE TRANSLATION	XX		
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner					Date Considered		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							